



III Semester M.Com. Examination, December 2015
(CBCS Scheme)
COMMERCE
FB 3.2 : Financial Markets

Time : 3 Hours

Max. Marks : 100

Instruction : Answer all Sections.

SECTION - A

1. Answer any seven sub-questions. Each sub-question carries two marks. (7x2=14)
- What is meant by 'insider trading'?
 - Mention two functions of issue managers.
 - What do you mean by 'red herring' prospectus?
 - What is the difference between GDR and ADR?
 - Outline the important features of 'offer document'.
 - Mention two listing requirements of Luxembourg stock exchange.
 - Expand NASDAQ.
 - What is zareba?
 - Mention two objectives of establishing SEBI.
 - What do you mean by 'book building'?

SECTION - B

- Answer any four questions. Each question carries five marks. (4x5=20)
- Write an explanatory note on 'private placement'.
 - What are the listing requirements of NASDAQ?
 - Describe clearing and settlement procedures of NSE.
 - Briefly explain the role of underwriters in capital market.
 - Outline the SEBI guidelines on insider trading.
 - Describe the working of primary market.



SECTION - C

Answer any three questions: Each question carries twelve marks.

(3×12=36)

- E. Explain the listing procedures and functions of OTCEI.
- F. Explain the working of New York and Tokyo stock exchanges.
- G. Write a detail note on Indian Financial system. What are your suggestions for improvement?
- H. Explain the role of SEBI in regulating and controlling stock exchanges in India.
- I. Write short notes on
 - a) Sensex
 - b) Screen based trading
 - c) German stock exchange.

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